

Federal and State Approvals for

Solar

Hawaii Renewable Energy Permits and Approvals Guidebooks



**Cover Image:** A portion of the La Ola 1.2 MW ground-mounted photovoltaic array on Lanai. Photo Credit: Abbas Akhil, Sandia National Laboratory

**NOTICE:** This guide is designed to help people understand the permitting process, and is not a legal document. Further, the guidebook should not be relied on exclusively to determine legal responsibilities. Some permits and licenses that are not included in this guide may be necessary to a particular project. The Department of Business, Economic Development and Tourism (DBEDT) and the State of Hawaii are not responsible for delays or losses caused thereby should the processing of a permit or approval differ from that written in these Guidebooks. Additionally, these guidebooks are not meant to be a substitute for hiring a professional permitting consultant. DBEDT strongly recommends that each renewable energy developer procure its own consultant familiar with these permits and approvals to assist it through the permitting process. DBEDT also recommends contacting the relevant permitting agencies as a first step to beginning all permitting planning and processes.

# **Acknowledgements**

The "Hawaii Renewable Energy Permits and Approvals Guidebooks" were produced as part of the Hawaii Clean Energy Initiative (HCEI), a partnership launched in 2008 between the State of Hawaii and the U.S. Department of Energy (DOE). SENTECH Hawaii created these guidebooks in close collaboration with DBEDT. Support from a number of federal, state, and county agencies made this suite of guidebooks possible.

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## **Section 1: Introduction**

# **Background**

The Federal and State Approvals for Solar Guidebook is one of 11 guidebooks created to provide the first comprehensive overview of the renewable energy permitting process in Hawaii. Seven of these guidebooks provide federal and state approvals that are resource-specific. The four other guidebooks are county-specific, and are intended to be used in conjunction with the appropriate resource-specific federal and state guidebook, as illustrated in Figure 1.

For renewable energy developers already familiar with permitting in Hawaii, a checklist is available in Section 6 that will assist in identifying which permits will be required depending on project specifics.

This suite of guidebooks was created as part of the Hawaii Clean Energy Initiative (HCEI), which has set the goal of transforming Hawaii's energy use to 70% clean energy by 2030. Hawaii's dependence on imported oil creates vulnerability for the state's economy which is greatly affected by the price volatility of this finite energy source. Recognizing the detrimental effects this oil dependency has on Hawaii's environment and local economy, the state signed a Memorandum of Understanding<sup>1</sup> with the U.S. Department of Energy (DOE) in January 2008, which established HCEI as a partnership bringing together local business leaders, policymakers, and industry experts to guide the Hawaii's transition to a clean energy economy.<sup>2</sup>

## Hawaii Energy Use in 2008<sup>3</sup>

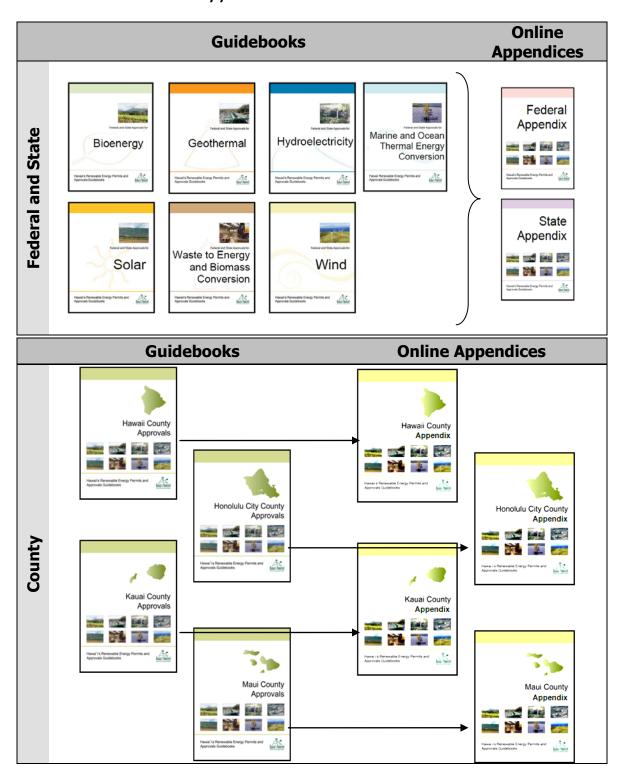
- Seventy-five percent of the net megawatt-hours of electricity generated in Hawaii were produced from oil.
- Approximately 97% of Hawaii's transportation fuels (as measured in Btu) were produced from oil.
- Hawaii imported roughly 43.1 million barrels of crude oil, costing the State more than \$4.1 billion.
- Although overall energy consumption decreased in 2008, Hawaii consumers spent an estimated \$8.4 billion for energy (about 37% more than in 2007), reflecting record high petroleum prices.

Downloadable from the DBEDT website at http://hawaii.gov/dbedt/info/energy/hcei/

<sup>&</sup>lt;sup>2</sup> Hawaii Clean Energy Initiative Website (2010). Retrieved on 2/10/10 from http://www.hawaiicleanenergyinitiative.org/about.html.

<sup>&</sup>lt;sup>3</sup> State of Hawaii Department of Business, Economic Development and Tourism (2009). State of Hawaii Energy Resources Coordinator Annual Report 2009. Retrieved on 2/9/10 from <a href="http://hawaii.gov/dbedt/info/energy/publications/erc09.pdf">http://hawaii.gov/dbedt/info/energy/publications/erc09.pdf</a>.

Figure 1: Overview of the Hawaii Renewable Energy Permits and Approvals Guidebooks Suite



Currently, Hawaii ranks 4<sup>th</sup> nationally in the percentage of total state non-hydroelectric renewable energy generated, as shown in Figure 2.<sup>4</sup> This ranking is based on 2007 data, and what was 6.5% renewable energy generation then has now increased in late 2009 to be estimated at 9% of Hawaii's electricity generation. Regardless of which year you consider, in order to reach the 70% goal set by HCEI the state has to foster rapid adoption of renewable energy and energy efficiency.

6.5%

Top 5

Top 5

Top 6-10

Figure 2: National Non-Hydroelectric Renewable Electricity Generation (TWh, 2007 data)

In order to reach this ambitious goal, Hawaii has identified the permitting process as an area that needs improvement to foster rapid investment and growth in clean energy technologies. Renewable energy stakeholders and developers have identified Hawaii's permitting process as an obstacle to capital investment in the sector. The guidebooks were created out of a need to understand the entire permitting system within Hawaii—which permits are required and the processes for acquiring those permits.

<sup>&</sup>lt;sup>4</sup> E. Doris, J McLaren, V Healey, and S. Hockett (October 2009). *State of the States 2009: Renewable Energy Development and the Role of Policy*. Retrieved on 11/18/09 from <a href="http://appsl.eere.energy.gov/states/state\_of\_the\_states.cfm">http://appsl.eere.energy.gov/states/state\_of\_the\_states.cfm</a>.

<sup>&</sup>lt;sup>5</sup> Hawaii Natural Energy Institute (2009). *Bioenergy Master Plan Draft*. Retrieved 10/29/09 from <a href="http://www.hnei.hawaii.edu/bmpp/stakeholders.asp">http://www.hnei.hawaii.edu/bmpp/stakeholders.asp</a>

Outside of these guidebooks, other major steps to streamline the permitting process have already begun. Significant legislation was passed in the 2009 Hawaii Legislature that alters the state's permitting process. First, renewable energy facilities greater than 5 MW are now able to apply for the Renewable Energy Facility Siting (REFS) process from HB2971 HD16—a permitting and regulatory framework for the construction of renewable energy facilities in the state (this was formerly only offered to renewable energy facilities greater than 200 MW). Additionally, while the decision to award or deny permits is retained by the state or county agencies, new legislation allows the Energy Resource Coordinator in DBEDT to force a decision to either grant or deny permits by no later than 18 months after the approval of a complete permit application. A third step that was taken in the 2009 Legislature was the passage of Act 155, which requires DBEDT to identify Renewable Energy Zones (REZ)—areas that are rich in renewables, cost effective, and environmentally benign—and encourage development of these REZs for transmission of renewable energy. DBEDT is in the process of determining these zones, which will foster much quicker land-use permitting processes.

### **Guide-to-the-Guides**

The permitting guidebooks were created for and intended to be used by developers planning to develop renewable energy projects in Hawaii. Renewable energy developers can use the guidebooks to understand what permits may be required for their potential project, the general time frame that will be required for permitting, and the specific statutory processes for each permit.

This Solar Guidebook provides information about approvals at the state and federal levels, as identified in late 2009. It is intended to be used in conjunction with the appropriate County Guidebook for your renewable energy project. For example, if your proposed solar project is located in Hawaii County, you should consult both this Solar Guidebook, as well as the Hawaii County Guidebook. Together, these two guidebooks provide a comprehensive list of federal, state, and county permits that could be required for your solar project.

To begin deciphering which permits will be required for a specific solar energy project, a checklist is provided in Section 6. Based on the required activities and the specific site of the renewable energy project, this checklist will determine which permits/approvals may be needed.

Within the checklist, the right-hand column references appendices which provide a wealth of permit-specific information. The appendices are the companion to

<sup>&</sup>lt;sup>6</sup> Hawaii State Legislature (2010). Retrieved on 3/22/2010 from http://www.capitol.hawaii.gov/session2010/lists/measure\_indiv.aspx?billtype=HB&billnumber=2971.

this Guidebook, and available electronically at <a href="https://www.HawaiiCleanEnergyInitiative.org/Permitting">www.HawaiiCleanEnergyInitiative.org/Permitting</a>. The appendices provide a "permit packet" with, when available, the following for each permit:

- "Process Overview" charts: created specifically for these guidebooks (not created by the agencies themselves), these two-page summaries provide specific contact information, a broad overview of the major steps, an estimated time frame, and estimated fees that relate to the permit/ approval.
- Application(s) and instructions: all applications included in the appendices were current as of November 2009, however application forms are subject to change. Instructions are included where available. Contact the permitting agency before completing the application to ensure you are using the correct forms and process.
- 3. Administrative Rules/ Ordinances/ Legislation: for state level permits, legislation is generally available for the majority of permits and serves to define ambiguous terms, provide detailed information regarding the process, and preempt many other questions regarding the permit. Administrative rules define and describe the state level process for executing the legislation that requires the permit. Ordinances are the county local laws and procedures for acquiring a county permit. The rules and ordinances provided herein are not the official version of the law, and could be slightly different from the official version based on state legislative or county council amendments to the law over time. The reader is advised to consult with the most current up to date legislation or ordinances.

It is important to note that the guidebooks and appendices were created at the end of 2009. Laws, legislation, and procedures for executing the permits and approvals are dynamic and ever-changing. All *Process Overviews* were created with the intent that the applicant would contact the permitting agency directly and consult all current materials as provided by the permitting agency. Many of the permitting processes are too complex to detail all steps, but these overviews provide a broad understanding of the process.

DBEDT and the State of Hawaii are not responsible for delays or losses caused should the processing of a permit or approval differ from that written in these Guidebooks. Additionally, these Guidebooks are not meant to be a substitute for hiring a professional permitting consultant. DBEDT strongly recommends that each renewable energy developer procure its own consultant familiar with these permits and approvals to assist it through the permitting process.

# **Section 2: Hawaii Permitting**

# **Categories of Permits**

Permits are commonly categorized into four main groups: (1) environmental permits and reviews, (2) construction and operation permits, (3) land use permits, and (4) utility permits. The appendices that correlate to the guidebooks break the permits up into these four categories. Overviews of each category and how they are administered in Hawaii are provided below.

#### **Environmental Permits and Reviews**

An "environmental permit" refers to a permit required by an environmental law in order to emit or discharge a pollutant or engage in certain regulated activities. For example, the Clean Air Act (CAA) and Clean Water Act (CWA) require facilities to obtain a permit to discharge certain pollutants to the air or water. Permits also are used by federal and state agencies to translate general requirements in environmental laws into specific provisions tailored to the operations of individual facilities or sites. For example, the Endangered Species Act (ESA) requires private citizens to draft a Habitat Conservation Plan (HCP) that must follow a number of steps to meet statutory issuance criteria under ESA. However, specific document and processing requirements will vary depending on the size, complexity, and impacts of the HCP involved, and potentially vary by the number and type of federal agencies involved in the HCP development and review. An overview of the related processes for ESA, the Marine Mammal Protection Act (MMPA), and National Environmental Policy Act (NEPA) under the U.S. Fish and Wildlife Service (FWS), National Marine Fisheries Service (NMFS), and potential other federal agencies is shown in Figure 5.

#### Federal Environmental Review Process

Environmental reviews are conducted at the federal, state, and county levels. At the federal level, NEPA is the legislation that established national environmental policies in the United States. NEPA was the first major environmental law in the United States, implemented in 1970, and is often called the "Magna Carta" of environmental laws. NEPA applies to all major federal actions; federal projects, any project requiring a federal permit, receiving federal funding, or located on federal land.

Every agency in the executive branch of the federal government has a responsibility to implement NEPA. To implement NEPA's policies, congress prescribed a procedure, commonly referred to as "the NEPA process" or "the environmental impact assessment process." NEPA requires agencies to

<sup>&</sup>lt;sup>7</sup> Council on Environmental Quality, "A Citizen's Guide to the NEPA", December 2007, available at <a href="http://ceq.hss.doe.gov/nepa/Citizens">http://ceq.hss.doe.gov/nepa/Citizens</a> Guide Dec07.pdf

undertake an assessment of the environmental effects of their proposed actions prior to making decisions. The cognizant federal agency documents this thought process by determining whether the project is categorically excluded from detailed environmental review, or by preparing either an environmental assessment (EA) or an environmental impact statement (EIS). When an EA or EIS is prepared, other agencies and the public will usually have an opportunity to review and comment on the proposal and the environmental analysis.

Because NEPA implementation is an important responsibility of the federal government, many federal agencies have established offices dedicated to NEPA policy and program oversight. Employees in these offices prepare NEPA quidance, policy, and procedures for the agency, and often make this information available to the public through sources such as internet websites (for Citizens Guide to NEPA, available example, the http://ceg.hss.doe.gov/nepa/Citizens Guide Dec07.pdf and in Appendix F-4). Agencies are required to develop their own capacity within a NEPA program in order to develop analyses and documents (or review those prepared by others) to ensure informed decision-making.8

State and local permitting processes can also involve environmental reviews similar to the NEPA process. Many state and local permits may first require that an EA or EIS be successfully completed before the permit can be reviewed. These non-federal EA/EIS processes may be coordinated with the federal (NEPA) EA/EIS or may be completed separately if not required at the federal level. If state or local permits necessitate an EA or EIS, the permitting agency may require proof of completion prior to conducting their own permitting review process.

At the state level, the Hawaii Department of Health (DOH) administers the majority of environmental permits, though other agencies also have environmental permit(s) such as the Department of Land and Natural Resources (DLNR) and the Office of Planning within the Department of Business, Economic Development and Tourism (DBEDT).

At the county level, environmental permitting varies based on the county in which the permit is required. However, every county in Hawaii requires a (1) Shoreline Setback Variance for all structures and activities in the "Shoreline Area" and (2) Special Management Area Permit for all use, activity, or operation proposed within the special management area, as defined as a "development" pursuant to Chapter 205A, Hawaii Revised Statutes, as amended. Specifics for each county's permitting requirements can be found in the county-specific guidebooks (available at www.HawaiiCleanEnergyInitiative.org/Permitting).

<sup>&</sup>lt;sup>8</sup> Council on Environmental Quality, "Regulations for Implementing the Procedural Provisions of the National Environmental Policy Act" 40 C.F.R. section 1507.2, available at www.nepa.gov.

### Hawaii's Environmental Review Process

Adopted in 1974 and patterned after the NEPA requirements, Hawaii's EIS law (Hawaii Revised Statutes, HRS 343) requires the preparation of EAs and EISs for many development projects. The law requires the government to give systematic consideration to the environmental, social and economic consequences of proposed development projects prior to allowing construction to begin. The law also assures the public the right to participate in planning projects that may affect their community. The Office of Environmental Quality Control implements this law in Hawaii.

The Office of Environmental Quality Control is required by HRS 343 to publish a "periodic bulletin." This bulletin has been known in the past by various names: Environmental Quality Commission (EQC) Bulletin (1974-84) and the OEQC Bulletin (1984-96). Since 1996, it has been called *The Environmental Notice*. It includes notices of determinations on the need for an EIS; acceptance or non-acceptance of EIS's; availability of documents for review and comments; documents, public comment processes or public hearings for habitat conservation plans, safe harbor agreements, or incidental take licenses under the federal Endangered Species Act. Current and previous issues of *The Environmental*Notice are available at http://hawaii.gov/health/environmental/oegc/index.html.

If a proposed action is subject to the EIS law, the environmental review process begins with the development of a draft EA. An EA is an informational document prepared by the proposing agency or the private applicant and used to evaluate the possible environmental effects of a proposed action. The EA must give a detailed description of the proposed action or project and evaluate direct, indirect and cumulative impacts. The document must consider alternatives to the proposed project and describe any measures proposed to minimize potential impacts. The public has 30 days to review and comment on a draft EA After the draft EA has been finalized and public comments responded to, the agency proposing or approving the action reviews the final assessment and determines if any "significant" environmental impacts are anticipated.

If the agency determines that the project will not have a significant environmental impact, it issues a finding of no significant impact (FONSI). This determination allows the project to proceed without further study. Within 30 days of the notice of this finding, the public may challenge an agency's determination by filing suit in circuit court of the appropriate circuit

If the agency determines that the action may have a significant impact, a more detailed EIS must be prepared. An EIS preparation notice is then issued and undergoes an additional 30-day comment period to define the scope of the draft

EIS. Publication of an EIS preparation notice initiates a 60 day period during which an aggrieved party may challenge the determination in court.

An EIS assesses the proposed project through research, discussion and review. It must, at a minimum, identify environmental concerns, obtain various relevant data, conduct necessary studies, receive public input, evaluate alternatives, and propose measures for minimizing adverse impacts. The EIS must be structured to disclose information in a concise manner using understandable terms. The EIS is prepared in both draft and final stages by the proposing agency or applicant. It is initially published as a draft EIS, and subjected to a 45 day review by the public and government agencies. After public comments are responded to, the draft is revised and submitted as the final EIS.

For applicant actions, the approving agency determines the acceptability of a final EIS. After a final EIS is accepted, the action may be implemented. The publication in *The Environmental Notice* of an acceptance or non-acceptance determination by either the accepting authority or the approving agency initiates a 60-day legal challenge period (see Appendix E for details). Additionally, an applicant may administratively appeal a non-acceptance determination directly to the Environmental Council.

The accepting authority must determine the acceptability of a final EIS. For renewable energy facilities that fall under HRS 201N, DBEDT is the accepting authority. For renewable energy facilities that do not fall under HRS 201N, DBEDT may still be the appropriate accepting authority (see Section 3 for a detailed description of the HRS 201N legislation). After a final EIS is accepted, the project may be constructed. The publication of either an acceptance or non-acceptance notice initiates a 60- day period during which an aggrieved party may challenge the determination by filing suit.

#### **Construction and Operation Permits**

Permits are required for small and large-scale construction and the subsequent operation of energy-related structures, buildings, water systems, road systems, etc. These permits exist in order to ensure proper design, safety, and consistency with national and local laws, codes, and standards. Failure to obtain appropriate permits can result in fines, penalties, and even the destruction of unauthorized construction.

#### Land Use Permits

Land use permits uphold zoning laws, which is the government's way of separating residential and business areas and ensuring that development is consistent with local and national standards and values.

In the State of Hawaii, all lands are classified into one of four categories of land use districts initially designated by the Land Use Commission (LUC). The LUC still plays a major role in regulating the land to this day. The LUC is composed of nine members (one from each of the four counties, five who are appointed atlarge). Each member has as been appointed by the Governor and confirmed by the State Senate.

The four categories of land are described in Table 1 below. Maps of each island's historical land designations are available on the Land Use Commission's website (<a href="http://luc.state.hi.us/luc\_maps.htm">http://luc.state.hi.us/luc\_maps.htm</a>), or the state's GIS system (see Figure 3).

| Table 1: State Land Use Categories |   |   |                                  |
|------------------------------------|---|---|----------------------------------|
| District Land<br>Category          | Description of Land   | Jurisdiction<br>Governing the<br>Land   | % of Hawaii's Land (approximate) |
| 1. Urban                           | Lands characterized by "city-like" concentrations of people, structures and services. Also includes vacant areas for future development.  | Counties  | 2.5%                             |
| 2. Rural                           | Lands composed primarily of small farms intermixed with low-density residential lots with a minimum size of one-half acre.  | LUC and County<br>governments<br>share<br>jurisdiction over<br>rural lands.   | <1%                              |
| 3. Agricultural                    | Lands for the cultivation of crops, aquaculture, raising livestock, wind energy facilities, timber cultivation, agriculture-support activities, and land with significant potential for agricultural uses. Agricultural land is graded by its perceived productivity (A, B, C, D, E, or U). | A and B lands<br>are governed by<br>statute.<br>The LUC<br>oversees all<br>other lower<br>productivity land<br>(C,D, E, and U). | 46%                              |

<sup>&</sup>lt;sup>9</sup> State of Hawaii Land Use Commission website. Retrieved 11/12/09 from http://luc.state.hi.us/about.htm.

| 4. Conservation | Lands in existing forest and water       | DLNR | 51% |
|-----------------|--|------|-----|
|                 | reserve zones and include areas          |      |     |
|                 | necessary for protecting watersheds      |      |     |
|                 | and water sources; scenic and historic   |      |     |
|                 | areas; parks; wilderness; open space;    |      |     |
|                 | recreational areas; habitats of endemic  |      |     |
|                 | plants, fish and wildlife; all submerged |      |     |
|                 | lands seaward of the shoreline; and      |      |     |
|                 | lands subject to flooding and soil       |      |     |
|                 | erosion. Conservation lands can be       |      |     |
|                 | further divided into Undesignated,       |      |     |
|                 | General, Limited, Protective, Resource,  |      |     |
|                 | and Special subzones.                    |      |     |

All land categories may be further classified as "shoreline", which requires additional permitting.

The county land use system is guided by a General Plan and more specific Community Development Plans. Each county in Hawaii has its own General Plan, which has a written portion (describing goals, policies, standards, and courses of action) and maps. Because each county has different procedures for permitting, more detailed descriptions of county land use regulations are provided in the County-Specific Guidebooks and Appendices.

Siting renewable energy projects in Hawaii has been made easier with the availability of the State's GIS tools that may be used by the public free of charge. This tool allows users to view the location of transmission lines, population centers, conservation lands, protected waters, and maps of renewable energy resources themselves. The Hawaii State Office of Planning within DBEDT is responsible for coordinating and maintaining the GIS information, and hosts the maps on their website at <a href="https://www.hawaii.gov/dbedt/gis/index.html">www.hawaii.gov/dbedt/gis/index.html</a>. Figure 3 shows an overview of the State's land use categories from this website. <sup>10</sup> Maps that show more detail per island are discussed in the County-Specific Guidebooks.

<sup>&</sup>lt;sup>10</sup> Department of Business, Economic Development & Tourism website (2009). Retrieved on 12/20/09 from <a href="https://www.hawaii.gov/dbedt/gis/index.html">www.hawaii.gov/dbedt/gis/index.html</a>.

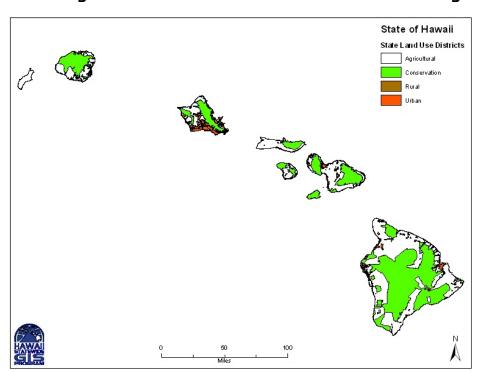


Figure 3: State Land Use Districts: State GIS Program

### **Utility Permits**

A "utility permit" is required for all utility construction, reconstruction, or maintenance activities within Hawaii. Utility permits ensure that work is performed safely and appropriately. Utility permits are administered at the federal level by the Federal Energy Regulatory Commission (FERC). FERC is an independent agency that regulates interstate energy transmission, natural gas projects, and hydropower projects.

At the state level, utility permits are administered by the Public Utilities Commission (PUC), which usually requires working with the local utility. Figure 4 gives an overview of the utilities that serve the major islands in Hawaii. <sup>11</sup>

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<sup>&</sup>lt;sup>11</sup> Hawaiian Electric Company (July 2009). *Power Facts*. Retrieved on 2/9/10 from <a href="http://www.heco.com/vcmcontent/StaticFiles/pdf/PowerFacts-07-2009.pdf">http://www.heco.com/vcmcontent/StaticFiles/pdf/PowerFacts-07-2009.pdf</a>. ; Kauai Island Utility Cooperative (October 2008). *Strategic Plan 2008- 2023*. Retrieved on 2/9/10 from <a href="http://www.kiuc.coop/pdf/SP2023%202008%20Update%20Approved-2008-10.pdf">http://www.kiuc.coop/pdf/SP2023%202008%20Update%20Approved-2008-10.pdf</a>.

HECO (Hawaiian Electric Company) - Number of Customers: 293,740 - Total Firm Generating Capability: 1,727 MW - % of Sales from Renewable Energy: 13.8 % MECO - 2007 Average Residential Rate: 20.5 cents/kWh (Maui Electric Company) - Number of Customers: 67,065 - Total Firm Generating Capability: 287.1 MW - % of Sales from Renewable Energy: 22.5 % - 2007 Average Residential Rate: 28.1 cents/kWh (Kauai Island Utility Cooperative) - Number of Member-Owners: Approximately 30,000 - Total Firm Generating Capability: 114 MW - % of Sales from Renewable Energy: 11.4 % - 2007 Average Residential Rate: 35.6 cents/kWh HELCO (Hawaii Electric Light Company) - Number of Customers: 79.606 - Total Firm Generating Capability: 276.4 MW - % of Sales from Renewable Energy: 40.7 % - 2007 Average Residential Rate: 32.8 cents/kWh

Figure 4: Map of Utilities in Hawaii

Although HECO owns MECO and HELCO, each island grid is currently independent and not connected to any other grid.

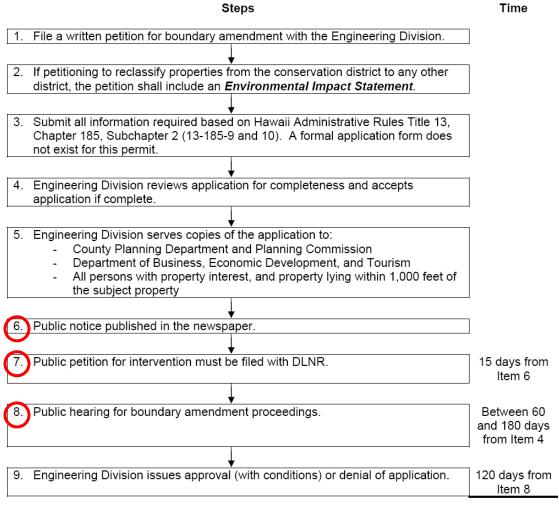
## Types of Permits: Ministerial vs. Discretionary

There are two major types of permits in Hawaii: ministerial and discretionary. Ministerial permits are generally administrative, and follow a set of defined laws that determine whether or not a permit is to be issued. Ministerial permits have requirements that are quantitative, specific, and measurable. On the other hand, discretionary permits call upon a body of decision makers to use personal judgment and discretion (hence the name) to issue or deny a permit. For example, the land use approvals in Hawaii go to the County Council, Planning Commission, State Land Use Commission, or State Board of Land and Natural Resources (BLNR), and these permitting bodies have to weigh various factors in their decision-making process, so nearly all land use permits in Hawaii are considered discretionary.

What are the various factors that influence the decision-making entity in discretionary permitting? There is first the interpretation of the General Plan that influences county-level decisions. Discretionary permits may also require a public hearing process. Decisions made by a board or commission must provide a hearing process, while County Council decisions are considered legislative and do not have to follow the hearing procedures. Parties involved in the hearing include the applicant as well as any person(s) who may be affected by the application and choose to become "intervenors" to the case. Both the applicant and the intervenors have the right to appeal an adverse decision to the court. The process for the public hearing varies depending on the permit and county in which the hearing is taking place.

Figure 5 is the Geothermal and Cable System Development permit (administered by DLNR), and is provided as an example of some of the steps that may be required to complete a discretionary permit in the State of Hawaii. The steps circled in red are further described below.

Figure 5: Example of a Discretionary Permit Process: Geothermal and Cable System Development Permit (DLNR, Engineering Division)



Total Time Period:

300 days

Step #6 sites that a public notice be published within the newspaper. This notice is generally paid for by the permit applicant, and should be published (usually) within two local newspapers. Step #7 refers to intervenors who would like to be involved in the hearing because they may be affected by the application. Step #8 refers to the actual hearing, which is generally constricted to a one-day event; the length of the hearing is dependent on the parties involved, the location, and the issues surrounding the permit. The applicant may be required to pay for a public hearings officer and the meeting space; this payment is dependent on the specific permit and the county in which the permit is filed.

# **Section 3: Coordinating the Permitting Process**

A well-coordinated permitting process is necessary to complete all permitting requirements efficiently. Starting the more time intensive and expensive permits early in the process can cut years off of the entire length of the permitting process. Consulting each permit's flow chart located in the appendices and contacting experts at the State Energy Office at DBEDT, as well as the cognizant agencies, can help to ensure all necessary permits are filed efficiently.

Coordination with the multiple federal agencies that may be involved in the federal regulatory process is particularly important. Multiple agencies can be responsible for implementing the requirements of various federal acts, such as the NEPA and the Endangered Species Act (ESA). Wherever coordination with the federal agency is particularly important, this has been included as a note or individual step within the relevant Process Overview document. An example of how the ESA Section 7 and Section 10 requirements correlate to the Marine Mammal Protection Act requirements and NEPA, along with the steps required by the cognizant federal agencies, is shown in Figure 6.

The U.S. Fish and Wildlife Service (USFWS) and the U.S. National Oceanic and Atmospheric Administration's (NOAA) National Marine Fisheries Service (NMFS) are the primary federal agencies responsible for implementing ESA requirements. Background information on the ESA, including Sections 7 and 10, is provided as addendums to the USFWS and NMFS permits (Appendices F7, F8, F10, and F11).

## The Renewable Energy Facility Siting Process (REFSP)

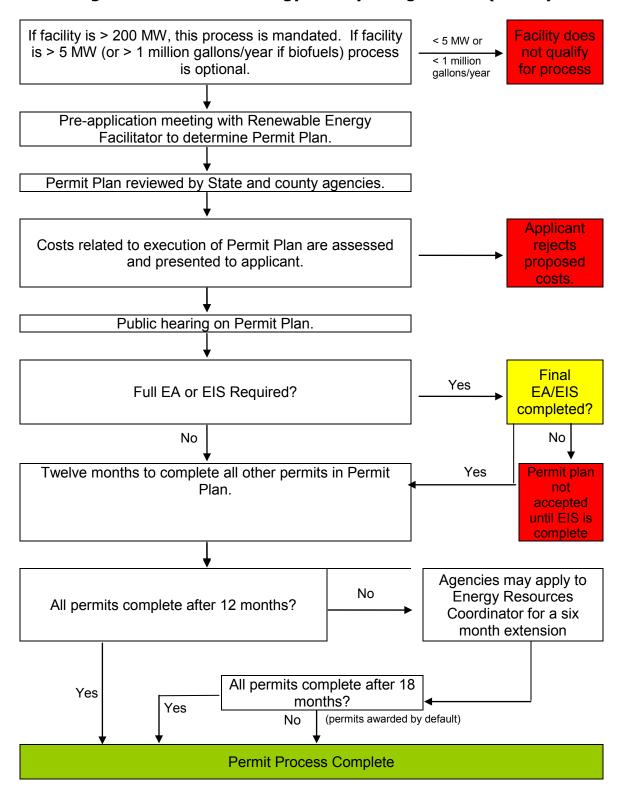
Besides doing research to understand the order of filing permits, another way of expediting the process is through the Renewable Energy Facility Siting Process (REFSP) as described in HRS 201N<sup>12</sup>. The REFSP exists within DBEDT to allow renewable energy developers the opportunity to streamline the permitting process at a cost. If a renewable energy project qualifies for this process, it is guaranteed that the permitting process will not last longer than 18 months from the time the permitting plan is agreed upon by all parties involved (not including the time required for an EIS or EA to be completed, if required). An overview of the REFSP process is illustrated in Figure 7.

<sup>&</sup>lt;sup>12</sup> Hawaii State Legislature Website (2010). *Chapter 201N: Renewable Energy Facility Siting Process.* Retrieved on 2/9/10 from <a href="http://www.capitol.hawaii.gov/hrscurrent/vol04">http://www.capitol.hawaii.gov/hrscurrent/vol04</a> Ch0201-0257/HRS0201N/HRS 0201N-.htm.

Private Citizens **ESA Section 10** Federal Agency Determination ESA Section 7 Determination MMPA Contact FWS or NMFS Section 101 to receive guidance on Authorization application NO **NEPA Draft** MAYBE Informal Is there an No further EIS Analysis (NLAA) effect on an Consultation action (if needed) ESA-listed with FWS or required Is proposed **NMFS** species? YES Submit application Will the action a to NOAA OPR and No further Federal proposed NMFS PIRO. Biological Agency action(s) action YES action? result in required Assessment (LAA) "take"? (BA) Comment periods, YE8 public hearings, Formal Is there FWS or NMFS Intra-Service FWS or NMFS and consultations. YE8 Consultation likelihood of Consultation and HCP Letter of with FWS or adverse Development Concurrence **NMFS** effect? Submit application to FWS or NMFS. FWS/NMFS drafts Incidental Harassment Biological Opinion (BO) Authorization (IHA) or Application includes: HCP/CP and Implementation Letter of Authorization (LHA) Agreement (if needed). Will the No further proposed Is this an NMFS action action(s) YES. required permit or are other result in Federal Agencies "take"? involved in the HCP? YES Incidental Take Statement (ITS) (attachment to BO) Incidental Take Permit (ITP)

Figure 6: Coordination of ESA, MMPA, and NEPA Processes

Figure 7: Renewable Energy Facility Siting Process (REFSP)



# **Section 4: Federal and State Agencies to Know**

Solar permitting requires working with a number of agencies at the federal, state, and county levels. Agencies at all of these levels need to properly examine proposed projects to ensure the protection of environmental and social goods and values, while also recognizing the benefits that renewable energy projects can bring to Hawaii.

Developers should contact all relevant permitting agencies early in the project planning process. The number of agencies involved is dependent on a number of project-specific factors. The checklist in Section 6 will assist developers in determining the permits and agencies that will be required for each project.

It is important to note that in a number of instances regulatory and management responsibilities overlap or are shared among various agencies. For example, the federal government has transferred regulatory authority for some environmental permits (such as the Clean Water Act) to the state, while the state has transferred some responsibilities on to the counties. Therefore, one permit could be used to adhere to different legislation passed from all three tiers of government.

The following tables provide a quick reference to the applicable federal and state agencies and their divisions, their acronyms, and main office contact information. All county agencies are described in the County Guidebooks.

| Federal Agencies                           |                    |   |
|--|--------------------|---|
| Agency                                     | Acronym            | Contact Information   |
| Army Corps of Engineers                    | USACE,<br>ACOE, CE |   |
| <ul> <li>Pacific Ocean Division</li> </ul> | POD                | USACE Honolulu District<br>Building 230<br>Fort Shafter, Hawaii<br>96858<br>Phone: 808-438-1500 |
| Council on Environmental Quality           | CEQ                | N/A   |
| Environmental Protection Agency            | EPA                | U.S. EPA Region 9 Water Program 75 Hawthorne St. San Francisco, CA 94105 Phone: 415-947-8707    |

| Federal Aviation Administration   | FAA   | Honolulu Airports District Office P.O. Box 50244 Honolulu, HI 96850- 0001 Phone: 808-541-1232          |
|---|-------|--|
| Federal Energy Regulatory Commission  | FERC  |  |
| <ul> <li>Office of Energy Projects</li> </ul>                                     | OEP   | 888 First Street, NE<br>Washington, DC 20426   |
| <ul> <li>Division of Hydropower</li> <li>Administration and Compliance</li> </ul> | DHAC  | Phone: 202-502-8700  |
| <ul> <li>San Francisco Regional Office</li> </ul>                                 | SFRO  | Phone: 415-369-3300  |
| Department of Interior  | DOI   |  |
| <ul> <li>Minerals Management Service</li> </ul>                                   | MMS   | Pacific OCS Region<br>770 Paseo Camarillo,<br>2nd Floor, Camarillo, CA<br>93010<br>Phone: 800-672-2627 |
| <ul> <li>Offshore Energy and Minerals</li> <li>Management</li> </ul>              | OEMM  |  |
| <ul> <li>United States Coast Guard</li> </ul>                                     | USCG  | U.S. Coast Guard Sector<br>Honolulu<br>General<br>Communications<br>Phone: 808-842-2640                |
| National Oceanic and Atmospheric<br>Administration                                | NOAA  |  |
| <ul> <li>National Marine Fisheries Service</li> </ul>                             | NMFS  |  |
| o Office of Protected Resources   | OPR   | 1315 East-West Hwy,<br>13th Floor<br>Silver Spring, MD 20910<br>Phone: 301-713-2332                    |
| National Park Service   | NPS   |  |
| <ul> <li>Air Resources Division</li> </ul>  | ARD   | 12795 W. Alameda<br>Pkwy<br>Denver, CO 80225<br>Phone: 303-969-2816                                    |
| United States Fish and Wildlife   | USFWS |  |
| <ul> <li>Pacific Islands Fish and Wildlife Office</li> </ul>                      |       | 300 Ala Moana<br>Boulevard<br>Room 3-122<br>Honolulu, HI 96850<br>Phone: 808-792-9400                  |

| State Agencies  |         |                         |
|---|---------|-------------------------|
| Agency  | Acronym | Contact Information     |
| Hawaii Department of Agriculture                          | HDOA    | 1428 S. King Street     |
|   |         | Honolulu, HI 96814      |
| - Division of Plant Industry                              |         |                         |
|   |         | 808-973-9401            |
| Hawaii Department of Health                               | DOH     | 1250 Punchbowl Street   |
|   | E145    | Honolulu, HI 96813      |
| <ul> <li>Environmental Management<br/>Division</li> </ul> | EMD     | Phone: 808-586-4304     |
|   |         | Phone: 808-586-4200     |
|   |         | Phone: 808-586-4309     |
|   | 1       | hPhone: 808-586-4226    |
| - Hazard Evaluation and                                   | HEER    | Phone: 808-586-4249     |
| Emergency Response Office - Office of Environmental       | OFOC    | Dhono, 900 F96 419F     |
| Quality Control   | OEQC    | Phone: 808-586-4185     |
| Department of Business,                                   | DBEDT   | 235 S. Beretania Street |
| Economic Development and                                  |         | Honolulu, HI 96813      |
| Tourism   |         | Phone: 808-587-2790     |
| - Land Use Commission                                     | LUC     | Phone: 808-587-3822     |
| - Office of Planning                                      | OP      | Phone: 808-587-2833     |
| - Hawaii Community  | HCDA    | Phone: 808-587-2870     |
| Development Authority                                     |         |                         |
| Department of Labor and                                   | DLIR    | 830 Punchbowl Street    |
| Industrial Relations                                      |         | Honolulu, HI 96813      |
| - Occupational, Safety and<br>Health Division             |         | Phone: 808-586-9100     |
| Department of Land and Natural                            | DLNR    | Kalanimoku Building     |
| Resources   | DLIVIK  | 1151 Punchbowl Street   |
| Resources   |         | Honolulu, HI 96813      |
|   |         | Phone: 808-587-0400     |
| - Commission on Water                                     | CWRM    | Phone: 808-587-0214     |
| Resource Management                                       |         |                         |
| - Division of Forestry and                                | DOFAW   | Phone: 808-587-0166     |
| Wildlife  |         | DI 000 507 0005         |
| - Engineering Division                                    |         | Phone: 808-587-0236     |
| - Land Division   |         | Phone: 808-587-0414     |
| - Office of Conservation and                              | OCCL    | Phone: 808-587-0377     |
| Coastal Lands - State Historic Preservation               | SHPD    | Phone: 808-692-8015     |
| Division  |         | 1 1101101 000 032 0013  |

| Department of Transportation | DOT |                           |
|------------------------------|-----|---------------------------|
| - Highways Division          |     | Aliiaimoku Building       |
|                              |     | 869 Punchbowl Street      |
|                              |     | Room 513                  |
|                              |     | Honolulu, HI 96813        |
|                              |     | Phone: 808-587-2220       |
| - Harbors Division           |     | Hale Awa Ku Moku Building |
|                              |     | 79 South Nimitz Highway   |
|                              |     | Honolulu, HI 96813-4898   |
|                              |     | Phone: 808-857-1927       |
| Public Utilities Commission  | PUC | 465 S. King Street, #103  |
|                              |     | Honolulu, HI 96813        |
|                              |     | Phone: 808-586-2020       |

# Section 5: An Overview of Solar Energy Regulations in Hawaii

Solar energy technologies come in a variety of sizes and types. Sizes range from smaller distributed (usually residential) systems to larger central (utility-scale) systems. Types include solar water heaters, photovoltaics (PV), and concentrating solar power (CSP). This permitting guidebook attempts to include the possible permits for any solar energy system size and all types of solar technologies.

The permitting process for solar systems closely resembles that for many other projects; however, there are also unique features to solar energy developments that result in special permitting requirements. For example, because solar energy technologies are zero-emission, they are exempt from air quality regulations (unlike many other forms of electric generation). However, the electricity produced by PV systems is an intermittent resource, so special connection to the grid may require interconnection studies and/or approval. This section provides a general background on solar energy in Hawaii, as well as more specific permitting issues that are faced on the islands.

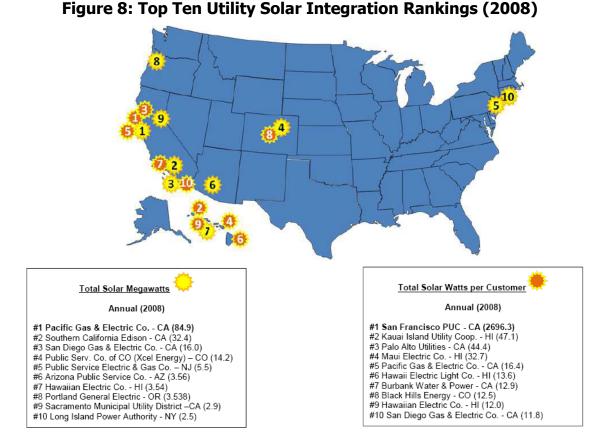
## **Hawaii Solar Energy-Specific Background**

Hawaii's abundance of year-round solar energy makes the state a prime location for solar energy technologies. According to analysis performed by the National Renewable Energy Laboratory (NREL), solar water heating is cost effective at any location in Hawaii. An estimated 80,000 single family homes, multi-unit dwellings, and institutional facilities in Hawaii are served by solar water heaters. The State's utilities rank in the top nationally for both total solar megawatts installed (Hawaiian Electric Company) and total solar watts per utility customer (KIUC, MECO, HELCO, and HECO), as shown in Figure 8. In addition to utility-integrated systems, Hawaii has an abundance of solar systems that operate off of the grid.

<sup>&</sup>lt;sup>13</sup> National Renewable Energy Laboratory (March 19, 2008). *Cost Effectiveness of Solar Water Heating in Hawaii*. Retrieved on 12/20/09 from <a href="http://hawaii.gov/dbedt/info/energy/renewable/shw-2008.pdf">http://hawaii.gov/dbedt/info/energy/renewable/shw-2008.pdf</a>.

<sup>&</sup>lt;sup>14</sup> Department of Business, Economic Development & Tourism website (2009). Retrieved on 12/20/09 from <a href="http://hawaii.gov/dbedt/info/energy/renewable/solar">http://hawaii.gov/dbedt/info/energy/renewable/solar</a>.

<sup>&</sup>lt;sup>15</sup> Solar Electric Power Association (SEPA, 2009). *2008 Top Ten Utility Solar Integration Rankings*.



The growth in Hawaii's solar industry is getting a further boost since the passage of Act 204 in the 2009 State Legislature. This legislation now requires solar water heater systems for new single-family residential construction that is permitted on or after January 1, 2010, throughout the State of Hawaii.

## **Solar Land Use and Maps in Hawaii**

Distributed solar energy is generally easily integrated into buildings. This benefit makes solar water heating, PV lighting, and PV rooftop systems compatible with urban land use districts and residential developments. Commercial-scale solar projects (PV or CSP) are more land-intensive, and may be allowed in rural, agricultural areas where soil is of lower productivity (classified as C, D, E, or U), and conservation district subzones that are designated as "Resource" and "General." Solar energy projects built on lands classified as overall productivity rating class D or E may be exempted from subdivision requirements otherwise applicable to other development projects. No matter what type of land solar energy is developed on, reflection from solar projects must be considered to

ensure that the solar development does not interfere with nearby activities such as airfields. <sup>16</sup>

A series of maps showing solar radiation for each major island in Hawaii is available on the DBEDT website. The maps show radiation in terms of calories per square centimeters per day. Figure 9 is an example of one of these maps, showing solar radiation on Hawaii Island.<sup>17</sup>

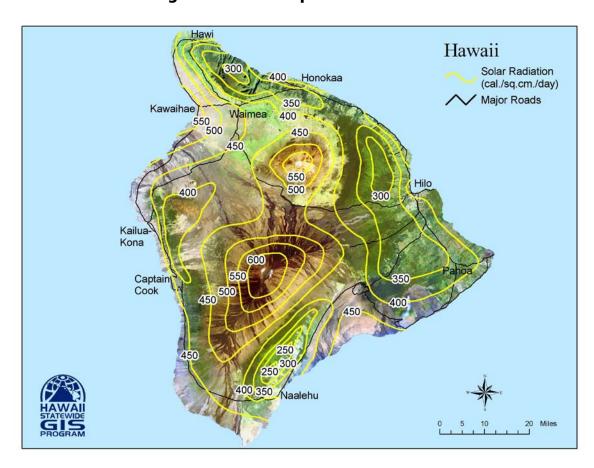


Figure 9: Solar Map of Hawaii Island

For more specific estimates of solar energy production, the "In My Backyard" tool created by NREL allows homeowners, businesses, and researchers to develop quick estimates of renewable energy production based on the exact project location, system size, and other variables (available online at <a href="http://www.nrel.gov/eis/imby/">http://www.nrel.gov/eis/imby/</a>). 18

<sup>&</sup>lt;sup>16</sup> Global Energy Concepts, LLC (December 2006). *A Catalog of Potential Sites for Renewable Energy in Hawaii.* 

<sup>&</sup>lt;sup>17</sup> DBEDT Website. Retrieved on 12/23/09 from http://hawaii.gov/dbedt/info/energy/publications/solardata/.

<sup>&</sup>lt;sup>18</sup> NREL (2009). In My Backyard Tool. Retrieved on 12/23/09 from <a href="http://www.nrel.gov/eis/imby/">http://www.nrel.gov/eis/imby/</a>.

## **Permitting Residential Solar Systems**

Building and electrical permits, which are administered by the counties, are required for both PV and solar water heating systems. Plumbing permits, which are also administered by the counties, are required for solar water heaters. The processes for building, electrical, and plumbing permits are dependent on the location of the proposed project (i.e., the county in which the project is located). For example, the City and County of Honolulu's Department of Planning and Permitting (DPP) offers an Online Building Permit system, which specifically calls out residential solar permits as shown in Figure 10.<sup>19</sup> The Counties of Maui, Hawaii, and Kauai also provide different online permitting assistance and applications.

City & County of Honolulu Department of Planning and Permitting (DPP) Online Building Permit 1-Type of Work | 2-Site Info | 3-Applicant Info | 4-Owner Info | 5-Contractor Info | 6-Contact Info | 7-Project Details | 8-Payment | 9-Summary | 10-Print Permit Please select only ONE (1) checkbox from the list below. If the type of work is not listed below, you may not obtain a Building Permit online. You MAY, however, submit a Building Permit Application to begin the processing of your permit application. This will save you time when you come in for your Building Permit. If you would like to continue the application process, click on the "Building Permit Application" link at the left. TYPE OF PERMIT: (Residential dwelling only) New installation Replace panels Heat pump installation Electrical (Residential dwelling only) Meter upgrade or replacement (for a Single family dwelling only) Rewiring only Solar Photovoltaic ("Go-Green" Hawaii Residential Kumu Kit) (for a Single family dwelling only) Plumbing (Residential dwelling only) Replacement of fixtures, new house/sink filter systems and/or new water softener system Building (Residential dwelling only) Air Conditioning Installation (split type systems only) New Fence Cancel < Prev Next > Submit

Figure 10: Online Solar Permitting on Oahu

http://dppweb.honolulu.gov/DPPWeb/default.asp?PossePresentationId=3000.

 $<sup>^{\</sup>rm 19}$  City and County of Honolulu, Department of Planning and Permitting (DPP) Website. Retrieved on 12/23/09 from

## **Connecting Solar Energy Systems to the Grid**

Renewable energy systems are capable of powering homes and small businesses without connecting to the grid; however, many consumers prefer to take advantage of grid-connection benefits such as net energy metering and the energy "storage" functionality that is provided by the grid. There are additional requirements and equipment that are necessary to connect to the grid. The Department of Energy offers a general guide for homeowners and small businesses who want to learn more about connecting a renewable energy system to the grid at <a href="http://www.energysavers.gov/your\_home/electricity/index.cfm/mytopic=10510">http://www.energysavers.gov/your\_home/electricity/index.cfm/mytopic=10510</a>.

In Hawaii, the local utility and the PUC are responsible for ensuring grid reliability, safety, and power quality. The utilities and PUC have set interconnection standards in order to ensure these responsibilities are taken care of, and to provide technical requirements that follow national standards for specifics such as design, operating, protection, synchronizing, and controls. An "Interconnection Requirement Study" (IRS) is sometimes required, which is completed by the utility but generally paid for by the renewable energy developer or independent power producer attempting to connect to the grid. The IRS is required for all utility scale projects that necessitate new transmission lines and may also be required for distributed energy projects depending on the location, local circuit, and size of the system (See Appendix S-50 – Transmission The completion of an IRS does not necessarily guarantee Line Approval). immediate connection to the grid; the study may make recommendations that call for additional equipment, time, and resources before the project is allowed to begin.

The process of connecting to the grid is dependent on the location of the project, and which local utility manages the grid. In Hawaii County, Maui County, and the City and County of Honolulu the proposed project will connect to one of the HECO subsidiaries' grids, which all share the process illustrated in Figure 11. Currently, HECO requires an IRS when distributed generation reaches a 10% threshold on the local circuit, though this limit may be extended to 15%. On Kauai, KIUC has a somewhat different, but similar methodology for interconnection, and is based on FERC standards.

<sup>&</sup>lt;sup>20</sup> Hawaiian Electric Company (April 8, 2002). *Interconnection*. Retrieved on 12/16/09 from the DBEDT website <a href="http://hawaii.gov/dbedt/ert/wwg/wwq-15-simmonscol.pdf">http://hawaii.gov/dbedt/ert/wwg/wwq-15-simmonscol.pdf</a>.

<sup>&</sup>lt;sup>21</sup> Honolulu Advertiser (Sunday, December 27, 2009). *Photovoltaic faces setback*. Retrieved on 12/28/09 from http://the.honoluluadvertiser.com/article/2009/Dec/27/bz/hawaii912270349.html.

<sup>&</sup>lt;sup>22</sup> Database of State Incentives for Renewables and Efficiency (DSIRE, 2010). Retrieved on 12/28/209 from

http://www.dsireusa.org/incentives/incentive.cfm?Incentive Code=HI01R&re=1&ee=1.

Process for Interconnecting Generators Customer/Generator Request To Utility <= 10 kW and Renewable? Sale to Utility? Net Excess Generation Standard Net Excess Generation? Agreement Interconnection Agreement (no sale) Firm Capacity? Firm Capacity Contract Power Purchase Contract Net Energy Metering <= 100 kW? for As-Available Energy (NEM) Agreement (greater than 100 kW) <= 10 kW Photovoltaic? Schedule O-PV10 Contract (10 kW or Less Photovoltaic N System) Schedule Q Contract (100 kW or less as-available)

Figure 11: HECO Process for Interconnecting Renewable Energy Projects to the Grid

## **Additional Resources**

As many solar technologies are in commercial production and have experienced relatively high adoption rates in Hawaii, a wealth of background information exists for homeowners interested in distributed energy and state and local permitting agencies. Below is a list of resources for each of these demographics.

## Resources for Homeowners – Distributed Solar Energy Resources

- Department of Energy, Energy Efficiency and Renewable Energy Website (2010). "Making Your Own Clean Energy." <a href="http://www.energysavers.gov/your\_home/electricity/index.cfm/mytopic=10510">http://www.energysavers.gov/your\_home/electricity/index.cfm/mytopic=10510</a>. This website includes an overview of how to connect a solar system to the grid, make a standalone solar electricity system, and navigate the permitting system for a distributed solar energy system.
- DBEDT (September 2003). "Have Some Energy on the House: Questions and Answers to Solar Water Heating." Available at <a href="http://hawaii.gov/dbedt/info/energy/publications/HaveEnergyOnHouse03.pdf">http://hawaii.gov/dbedt/info/energy/publications/HaveEnergyOnHouse03.pdf</a>. This Hawaii-specific guide walks homeowners through all considerations regarding the installation of a solar water heater.

# Section 6: A Checklist of Approvals for Solar Energy Development

This section provides a checklist that can be used by a solar energy developer to begin to understand which permits may be required for a specific project. The checklist is designed so that the developer begins by reading the column titled "Possible activity to be performed" which describes a potential activity that may be required for a solar project. If the project requires the listed activity, the developer can then place a check-mark in the far left-hand column, allowing the developer to get an idea of which permits should be further researched. The permit name and department that administers the permit is also listed in the table. The far right-hand column references either the federal ("F") or state ("S") (available appendices electronically www.HawaiiCleanEnergyInitiative.org/Permitting), which contain a wealth of information about each permit as described in Section 1. If a renewable energy developer is unsure whether a permit is required, he/she may refer to the appendix number listed, which should provide enough information to make a If still unsure after referring to the appendix, contact the administrating agency listed in the Process Overview in the appendix or the State Energy Office within DBEDT.

It is important to note that certain projects may not require all permits identified in the following checklist. Due to project variability, it is also possible that certain projects will require additional permits not identified in the checklist. For projects not designated for renewable energy facility siting process assistance under HRS 201N (as described in Section 3), it is the developers' responsibility to identify and obtain all necessary permits.

As the appendices are large files, we provide each appendix as a separate file on the website; we suggest printing only the individual appendix numbers that are thought to be required for your project.

#### Checklist

Solar energy projects may come in a variety of sizes and technologies—from small (residential or distributed solar systems) to large (utility-scale or PV farms) and solar PV or solar water heaters. This permitting guidebook has attempted to include all possible permits for any of these sizes and places. Going through the checklist of possible activities below will help developers filter the possible permits required for a specific project.

| Permit Name;<br>Department  | Possible activity to be performed  | See Appendix<br>Number |
|---|--|------------------------|
| Federal E   | nvironmental Permits and Reviews   |                        |
| Department of the<br>Army (DA) Permit;<br>USACE                                       | To conduct one of the following: (1) build structures or perform work in or affecting the course, condition, location or capacity of navigable waters, including tidal wetlands; (2) any activity that might result in a discharge of dredged or fill material into water or non-isolated wetlands or excavation in water or non-isolated wetlands; (3) transport dredged material for ocean disposal. | F-01                   |
| Environmental Impact<br>Statement/<br>Environmental<br>Assessment ; CEQ               | To assess the environmental effects of proposed actions by Federal agencies or for any project requiring a federal permit, receiving federal funding, or located on federal land.  | F-04                   |
| Incidental Take<br>Statement,<br>Endangered Species<br>Act Section 7 (a)(2);<br>USFWS | To conduct an otherwise lawful activity that might incidentally, but not intentionally, "take" (harass, harm, pursue, hunt, shoot, wound, kill, trap, capture, or collect, or to attempt to engage in any such conduct) an Endangered Species Act (ESA) listed terrestrial and freshwater aquatic species.   | F-10                   |
| Incidental Take Permit, Endangered Species Act Section 10(a)(1)(B); USFWS             | To conduct an otherwise lawful activity that might incidentally, but not intentionally, "take" (harass, harm, pursue, hunt, shoot, wound, kill, trap, capture, or collect, or to attempt to engage in any such conduct) an Endangered Species Act (ESA) listed terrestrial and freshwater aquatic species.   | F-11                   |
| State of Hawa   | aii Environmental Permits and Revie  | WS                     |
| Coastal Zone<br>Management Federal<br>Consistency Review;<br>DBEDT, OP                | To perform federal actions (including activities performed by a non-federal entity requiring federal permits, licenses or other forms of federal authorization) that has a reasonably foreseeable effect on any land or water use or natural resource of the coastal zone, and/or is on the outer continental shelf.   | S-01                   |
| Environmental Impact<br>Statement/<br>Environmental<br>Assessment; DOH                | To propose the use of State or county lands, or lands within conservation districts, shoreline area, historic sites, or in the Waikiki Special District; to propose amendments to county general plans; or to propose a wastewater system, waste-to-energy facility, landfill, oil refinery, or power generating facility according to Hawaii Revised Statutes (HRS) Chapter 343-5.                    | S-05                   |

|  | To ongoin any pativity that might  |      |
|--|--|------|
| National Pollutant Discharge Elimination System Permit; DOH  | To engage in any activity that might materially alter the surrounding water supply, or to operate a facility that creates a liquid discharge into State or local water supplies.   | S-08 |
| Hawaii Emergency<br>Planning and<br>Community Right-To-<br>Know-Act (HEPCRA)<br>Chemical Inventory<br>Reporting; DOH | To own or operate a facility in the State that stores, uses or manufactures any hazardous substance that is equal to or exceeds reporting thresholds as established by Hawaii Chemical Inventory Form (HCIF) according to Hawaii Administrative Rules (HAR) 128E-6, and the EPA's federal regulations for chemicals.         | S-10 |
| Incidental Take<br>License and Habitat<br>Conservation Plan;<br>DLNR   | To allow the incidental take of endangered or threatened species while carrying out an otherwise lawful activity. "Take" is defined as to: harass, harm, pursue, hunt, shoot, wound, kill, trap, capture, or collect any threatened or endangered species, including plants, animals, birds, fresh and marine water species. | S-15 |
| State of Hawa  | aii Construction and Operation Perm  | its  |
| Noise Permit; DOH  | To engage in the construction, expansion, or demolition of buildings, houses, bridges, roadway (including improvements), utilities, reservoirs or any other activity causing excess noise in the community.  | S-18 |
| Section 401 Water<br>Quality Certification<br>(WQC) Permit; DOH  | To show that construction runoff on renewable energy construction site will not violate applicable water quality standards.  | S-19 |
| Construction to Cross<br>or Enter the State<br>Energy Corridor; DOT  | To perform construction to cross or enter the State Energy Corridor on Oahu.   | S-26 |
| Operate or Transport Oversize and/or Overweight Vehicles and Loads Permit; DOT                                       | To use vehicles or transport loads of a size or weight that exceeds the maximum dimensions established by Hawaii Administrative Rules 19-104.  | S-28 |
| Use and Occupancy Agreement (Lane Use Permit for Construction Work); DOT   | To park on a restricted section of highway under State jurisdiction for construction adjacent to and within the highway right-ofway.   | S-29 |
| Stat   | e of Hawaii Land Use Permits   |      |
| Kakaako<br>Development Permit;<br>DBEDT, HCDA  | To perform activities in the development or redevelopment of the seaward or inland areas of the Kakaako District.  | S-31 |
| District Boundary Amendment; DBEDT, LUC  | To amend a land use district boundary in order to development a renewable energy resource or technology on that land.  | S-32 |

| Special Use Permit -<br>over 15 acres;<br>DBEDT, LUC | To develop on over 15 acres of agricultural and/or rural district lands for "unusual and reasonable" uses; or to develop any number of acres on lands designated as "important agricultural lands."                               | S-33 |
|--|---|------|
| Special Management<br>Area Use Permit;<br>DBEDT, LUC | To develop in special management areas as defined in the State (including Kakaako Industrial Area on Oahu), as well as build structures and perform activities within shoreline setback areas of community development districts. | S-34 |
| Closed Watershed<br>Entry; DLNR                      | To enter a closed, restricted watershed.  | S-35 |
| Conservation District Use; DLNR                      | To apply for a land use in the State Land Use Conservation District.  | S-36 |
| Easement for Use of State Land; DLNR                 | To request for State lands for access, utility or other easements to private property; purchase of remnant; direct lease; and/or land license.  | S-39 |
| Forest Reserve<br>Special Use Permit;<br>DLNR        | To perform scientific collection of plants and animals within a forest reserve.   | S-40 |
| Groundwater Control<br>Area Permit; DLNR             | To establish new uses of ground water (as well as modifications of existing ground water use permits) in a designated ground water management area.   | S-42 |
| Historic Preservation<br>Review; DLNR                | To assess effects of a renewable energy project on significant historic properties, and then develop and execute plans to avoid, minimize, or mitigate adverse effects to the historic properties.                                | S-43 |
| Historic Sites Review;<br>DLNR                       | To assess effects of a renewable energy project on significant historic properties, and then develop and execute plans to avoid, minimize, or mitigate adverse effects to the historic properties.                                | S-44 |
| Natural Area<br>Reserves Permit;<br>DLNR             | To conduct research / activities within the Natural Area Reserve System (NARS).   | S-45 |
| Stream Channel<br>Alteration Permit;<br>DLNR         | To allow for improvement and/or diversion of existing streams on renewable energy project property.   | S-46 |
| Wildlife Sanctuary<br>Entry; DLNR                    | To enter a prohibited area in a wildlife sanctuary, and/or collect data.  | S-47 |
| Forest Reserve Entry/<br>Access Permit; DLNR         | To enter or access restricted forest reserve(s).  | S-48 |

| Sta   | ate of Hawaii Utility Permits  |      |
|---|--|------|
| Certificate of Public<br>Convenience/<br>Necessity; PUC | To provide, sell, or transmit power directly to the public as a public utility (rather than providing, selling, or transmitting that power directly to a public utility for transmission to the public). | S-49 |
| Power Purchase<br>Agreement Approval;<br>PUC            | To allow the utility to purchase power from an independent power producer.   | S-50 |
| Transmission Line<br>Approval; PUC                      | To interconnect a proposed renewable energy project to the existing grid, where new transmission lines are required.   | S-51 |
| Additional permits that specifics:                      | may be required depending on project   |      |

## **Section 7: Conclusion**

The suite of renewable energy permitting guidebooks does not attempt to make any recommendations for changing the permitting processes, but rather provides a factual reference for those interested in developing renewable energy projects in the State of Hawaii. Hopefully these guidebooks will provide a means for renewable energy developers to more easily navigate the permitting process in the State of Hawaii. More importantly, these guidebooks may provide the first step toward fostering change in the permitting process by allowing permitting agencies and renewable energy developers to work together toward the shared goals that they each stand for.

# **Glossary of Commonly Used Acronyms**

The following list includes acronyms found in this Guidebook, as well as the related appendices.

| AAA    | Airport Airspace Analysis (FAA)                                  |
|--------|--|
| ACOE   | U.S. Army Corps of Engineers (old acronym, new acronym is USACE) |
| AERU   | Alternative Energy-Related Use (MMS)                             |
| ALP    | Alternative Licensing Process (FERC)                             |
| APC    | Air Pollution Control  |
| AQRV   | Air Quality Related Value (CAA)                                  |
| ARD    | Air Resources Division (NPS)                                     |
| BA     | Biological Assessment (ESA)                                      |
| BACT   | Best Available Control Technology (CAA)                          |
| ВО     | Biological Opinion (ESA)   |
| BVA    | Board of Variance and Appeals                                    |
| CAA    | Clean Air Act  |
| CDU    | Conservation District Use  |
| CE     | U.S. Army Corps of Engineers                                     |
| CEII   | Critical Energy Infrastructure Information                       |
| СЕРОН  | Corps of Engineers Pacific Ocean Honolulu (USACE)                |
| CERCLA | Comprehensive Environmental Response, Compensation, and          |
|        | Liability Act of 1980  |
| CFR    | Code of Federal Regulations                                      |
| CIZ    | Change in Zoning   |
| COE    | U.S. Army Corps of Engineers                                     |
| COI    | Conflict of Interest   |
| COP    | Construction and Operations Plan (MMS)                           |
| СР     | Conservation Plan (ESA) (same as HCP)                            |
| CP     | Conditional-Use Permit   |
| CPD    | Coastal Programs Division (OCRM)                                 |
| CSP    | Covered Source Permit  |
| CTA    | Conservation Technical Assistance (NRCS)                         |
| CUP    | County Use Permit  |
| CWA    | Clean Water Act  |
| CWRM   | Commission on Water Resource Management                          |
| CX     | Categorical Exclusion (NEPA)                                     |
| CZM    | Coastal Zone Management  |
| CZMA   | Coastal Zone Management Act                                      |
| DA     | Department of the Army   |
| DBA    | Draft Biological Assessment                                      |

| DEEDT Department of Business, Economic Development and Tourism  DE District Engineer (USACE)  DEIS Draft Environmental Impact Statement  DERP Defense Environmental Restoration Program (USACE)  DHAC Division of Hydropower Administration and Compliance (FERC)  DI Direct Implementation (EPA)  DLA Draft License Application (FERC)  DLIR (State of Hawaii) Department of Labor and Industrial Relations  DLNR (State of Hawaii) Department of Land and Natural Resources  DOE U.S. Department of Energy  DOFAW Division of Forestry and Wildlife (within DLNR)  DOH (State of Hawaii) Department of Health  DOI U.S. Department of the Interior  DOT (State of Hawaii) Department of Transportation  DOTS Dredging Operations and Technical Support (USACE)  DPP Department of Planning and Permitting (City and County of Honolulu)  EA Environmental Assessment (NEPA)  EC-R Engineering Construction - Regulatory (USACE)  EFH Essential Fish Habitat (NMFS)  EIS Environmental Impact Statement (NEPA)  EISA Energy Independence and Security Act  EMD Environmental Management Division (within DOH) | DBA   | District Boundary Amendment   |
|--|-------|---|
| DE District Engineer (USACE) DEIS Draft Environmental Impact Statement DERP Defense Environmental Restoration Program (USACE) DHAC Division of Hydropower Administration and Compliance (FERC) DI Direct Implementation (EPA) DLA Draft License Application (FERC) DLIR (State of Hawaii) Department of Labor and Industrial Relations  DLNR (State of Hawaii) Department of Land and Natural Resources  DOE U.S. Department of Energy DOFAW Division of Forestry and Wildlife (within DLNR) DOH (State of Hawaii) Department of Health DOI U.S. Department of the Interior DOT (State of Hawaii) Department of Transportation DOTS Dredging Operations and Technical Support (USACE) DPP Department of Planning and Permitting (City and County of Honolulu)  EA Environmental Assessment (NEPA) EC-R Engineering Construction - Regulatory (USACE) EFH Essential Fish Habitat (NMFS) EIS Environmental Impact Statement (NEPA) EISA Energy Independence and Security Act   |       |   |
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| EFH Essential Fish Habitat (NMFS)  EIS Environmental Impact Statement (NEPA)  EISA Energy Independence and Security Act  | EA    | Environmental Assessment (NEPA)                                     |
| EIS Environmental Impact Statement (NEPA) EISA Energy Independence and Security Act  | EC-R  | Engineering Construction - Regulatory (USACE)                       |
| EISA Energy Independence and Security Act  | EFH   | Essential Fish Habitat (NMFS)                                       |
|  | EIS   | Environmental Impact Statement (NEPA)                               |
| EMD Environmental Management Division (within DOH)   | EISA  | Energy Independence and Security Act                                |
|  | EMD   | Environmental Management Division (within DOH)                      |
| EPA U.S. Environmental Protection Agency   | EPA   | U.S. Environmental Protection Agency                                |
| EPAct Energy Policy Act  | EPAct | Energy Policy Act   |
| EPCRA Emergency Planning and Community-Right-to-Know Act   | EPCRA | Emergency Planning and Community-Right-to-Know Act                  |
| EQC Environmental Quality Commission   | EQC   | Environmental Quality Commission                                    |
| ESA Endangered Species Act   | ESA   | Endangered Species Act  |
| ESL Easement for Use of State Lands  | ESL   | Easement for Use of State Lands                                     |
| ESP Environmental Stewardship Program (USACE)  | ESP   | Environmental Stewardship Program (USACE)                           |
| EUP Experimental Use Permit  | EUP   | Experimental Use Permit   |
| FAFF Flammable Finish Facility   | FAFF  |   |
| FAQ Frequently Asked Questions   | FAQ   |   |
| FEBA Fire, Explosives and Blasting Agent   | FEBA  | • • •   |
| FHAZ Hazardous Materials Permit  | FHAZ  |   |
| FHWA Federal Highway Administration  | FHWA  | Federal Highway Administration                                      |

| FLAG  | Federal Land Managers' Air Quality Related Values Work Group |
|-------|--|
| FLM   | Federal Land Manager (NPS)                                   |
| FONSI | Findings of No Significant Impact                            |
| FPA   | Federal Power Act  |
| FUDS  | Formerly Used Defense Sites (USACE)                          |
| FWCA  | Fish and Wildlife Coordination Act                           |
| FWO   | Fish and Wildlife Office (USFWS)                             |
| FWS   | U.S. Fish and Wildlife Service                               |
| GAP   | General Activities Plan (MMS)                                |
| GCAP  | Groundwater Control Area Permit                              |
| GIS   | Geographic Information Systems                               |
| GP    | General Permit (USACE)                                       |
| HAR   | Hawaii Administrative Rules                                  |
| HCDA  | Hawaii Community Development Authority                       |
| HCP   | Habitat Conservation Plan (ESA) (same as CP)                 |
| HDOA  | Hawaii Department of Agriculture                             |
| HECO  | Hawaiian Electric Company                                    |
| HEER  | Hazard Evaluation and Emergency Response Office (within      |
|       | DOH)   |
| HELCO | Hawaii Electric Light Company                                |
| HPR   | Historic Preservation Review                                 |
| HRS   | Hawaii Revised Statutes                                      |
| HSR   | Historic Sites Review  |
| IHA   | Incidental Harassment Authorization (MMPA)                   |
| ILP   | Integrated Licensing Process (FERC)                          |
| ITA   | Incidental Take Authorization                                |
| ITL   | Incidental Take License                                      |
| ITP   | Incidental Take Permit (ESA)                                 |
| ITS   | Incidental Take Statement (ESA)                              |
| IWS   | Individual Wastewater System                                 |
| JD    | Jurisdictional Determination (USACE)                         |
| KIUC  | Kauai Island Utility Cooperative                             |
| LAA   | Likely to Adversely Affect (ESA)                             |
| LNM   | Local Notice to Mariners (USCG)                              |
| LOA   | Letter of Authorization (MMPA) (same as ITA)                 |
| LOP   | Letter of Permission (USACE)                                 |
| LPG   | Liquefied Petroleum Gases                                    |
| LUC   | Land Use Commission  |
| MBSP  | Migratory Birds and State Programs (USFWS)                   |
| MCL   | Maximum Contaminant Levels (CWA)                             |
| MECO  | Maui Electric Company  |

| MILCON  | Military Construction (USACE)  |
|---------|--|
| MMPA    | Marine Mammal Protection Act   |
| MMS     | Minerals Management Service (DOI)  |
| MMSZ    | Marine Mammal Safety Zone (MMPA)   |
| MOA     | Memorandum of Agreement  |
| MOU     | Memorandum of Understanding  |
| MPA     | Marine Protected Area (NOAA)   |
| MPRSA   |  |
| MENSA   | Marine Protection, Research, and Sanctuaries Act (also known as the Ocean Dumping Act) |
| NAAQS   | National Ambient Air Quality Standards (CAA)   |
| NARS    | Natural Area Reserves System   |
| NE      | No Effect (ESA)  |
| NEPA    |  |
|         | National Environmental Policy Act  |
| NESHAPS | National Emission Standards for Hazardous Pollutants (CAA)                             |
| NHPA    | National Historic Preservation Act   |
| NLAA    | Not Likely to Adversely Affect (ESA)   |
| NMFS    | National Marine Fisheries Service (NOAA)   |
| NMSA    | National Marine Sanctuaries Act  |
| NOAA    | U.S. National Oceanic and Atmospheric Administration                                   |
| NOI     | Notification of Intent (FERC)  |
| NOS     | National Ocean Service (NOAA)  |
| NPDES   | National Pollutant Discharge and Elimination System                                    |
| NPR     | No Permit Required (USACE)   |
| NPS     | U.S. National Park Service   |
| NRCS    | U.S. Natural Resources Conservation Service (originally called                         |
| INCS    | the Soil Conservation Service)   |
| NSP     | Noncovered Source Permit   |
| NSR     | New Source Review (CAA)  |
| NW      | Nationwide (USACE)   |
| NWP     | Nationwide Permit (USACE)  |
| NWR     | National Wildlife Refuge (ÚSFWS)   |
| NWRS    | National Wildlife Refuge System (USFWS)  |
| OCCL    | Office of Conservation and Coastal Lands (within DLNR)                                 |
| OCI     | Organizational Conflict of Interest  |
| OCRM    | Ocean and Coastal Resource Management (NOAA)   |
| OCS     | Outer Continental Shelf  |
| OCSLA   | Outer Continental Shelf Lands Act  |
| ODA     | Ocean Dumping Act (MPRSA)  |
| ODD     | Ocean Disposal Database (USACE)  |
| ODMDS   | Ocean Dredged Material Disposal Sites  |
| OE      | Obstruction Evaluation (FAA)   |
|         | ODG. ACCION EVALUATION (1777)  |

| OEMM     | Offshore Energy and Minerals Management (MMS)                        |
|----------|--|
| OEQC     | Office of Environmental Quality Control (within DOH)                 |
| OMA      | Operations & Maintenance, Army (USACE)                               |
| OP       | Office of Planning (within DBEDT)                                    |
| OPR      | Office of Protected Resources (NMFS)                                 |
| OTEC Act | ` ,  |
| OWCP     | Ocean Thermal Energy Conversion Act Ocean Waters Construction Permit |
| PAD      |  |
|          | Pre-Application Document (FERC)                                      |
| PCN      | Pre-Construction Notification (USACE)                                |
| PIRO     | Pacific Islands Regional Office (NMFS)                               |
| PLP      | Preliminary Licensing Proposal (FERC)                                |
| PM&E     | Proposed Measures and Plans to Protect, Mitigate, or Enhance         |
| DIAD     | Environmental Resources (FERC)                                       |
| PMP      | Project Master Plan  |
| POD      | Pacific Ocean Division (USACE)                                       |
| POH      | Pacific Ocean - Honolulu (USACE)                                     |
| PPA      | Power Purchase Agreement   |
| PRD      | Protected Resources Division (PIRO)                                  |
| PSD      | Prevention of Significant Deterioration (CAA)                        |
| PUC      | Public Utility Commission  |
| RAB      | Restoration Advisory Board (USACE)                                   |
| RCRA     | Resource Conservation and Recovery Act                               |
| REA      | Ready for Environmental Analysis (FERC)                              |
| REAU     | Renewable Energy and Alternative Uses                                |
| REFSP    | Renewable Energy Facility Siting Process                             |
| RFI      | Request for Interest   |
| RFP      | Request for Proposals  |
| RFQ      | Request for Quotes   |
| RHA      | Rivers and Harbors Act   |
| ROD      | Record of Decision (USACE)   |
| ROW      | Right-of-Way   |
| RPA      | Reasonable and Prudent Alternatives (NMFS)                           |
| RUE      | Right-of-Use and Easement  |
| SAP      | Site Assessment Plan (MMS)   |
| SCAP     | Stream Channel Alteration Permit                                     |
| SD       | Scoping Document (FERC)  |
| SDWA     | Safe Drinking Water Act  |
| SHPD     | State Historic Preservation Division (within DLNR)                   |
| SMA      | Special Management Area  |
| SOF      | Statement of Findings (USACE)  |
| SPGP     | State Programmatic General Permit (USACE)                            |
| SSV      | Shoreline Setback Variance   |
|          | SHOTCHING SOCIOUCK FUNDING   |

| SUP   | Special Use Permit                          |
|-------|---|
| TDML  | Total Maximum Daily Loads (CWA)             |
| TLP   | Traditional Licensing Process (FERC)        |
| TSD   | Transmission, Distribution, and Storage     |
| UIC   | Underground Injection Control               |
| USACE | U.S. Army Corps of Engineers                |
| USC   | United States Code                          |
| USCG  | U.S. Coast Guard                            |
| USDW  | Underground Sources of Drinking Water (CWA) |
| USFS  | U.S. Forest Service                         |
| USFWS | U.S. Fish and Wildlife Service              |
| UST   | Underground Storage Tank                    |
| WCPI  | Well Construction - Pump Installation       |
| WQC   | Water Quality Certification (CWA)           |



